

B9KG7CFDCF5H=CB

:CFA+*E

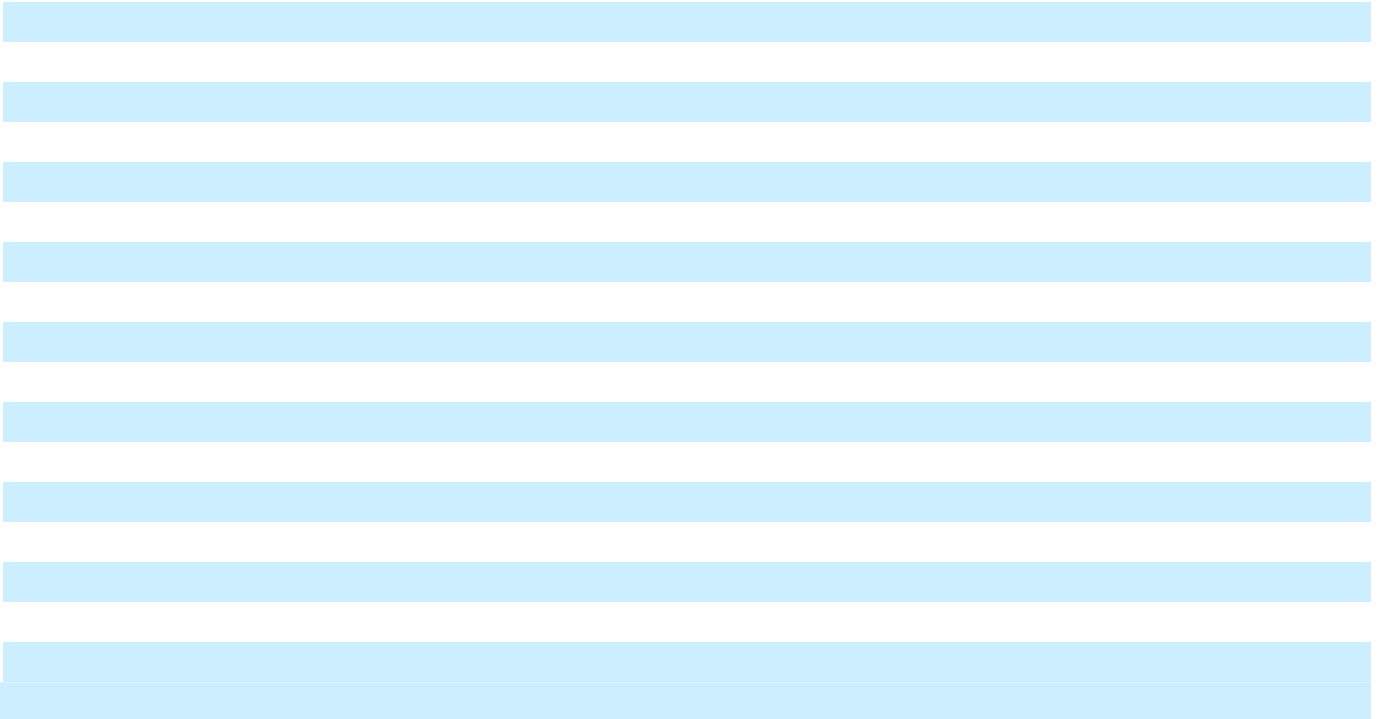
H56@9C:7CBH9BHG

D5FH =

=H9A +(:=B5B7=5@ GH5H9A9BHG

B9KG 7CFDCF5H=CB

7CBGC@=85H98 GH5H9A9BHG C: CD9F5H=CBG



B9KG 7CFDCF5H=CB
7CBGC@=85H98 65@5B79 G<99HG

| $\frac{5b \wedge U}{GT_cT \setminus QTa - *k, *, -}$ | $\frac{5b \wedge U}{>d]T - *k, *, -}$ |
|---|---------------------------------------|
| \$d]PdSxcTS% | \$PdSxcTS% |

5bbTcb4

@XPQ[XcYtb P]S 9`dXch4

7[Pbb 5 R^ \ \ ^] bc^RZ

7[Pbb 6 R^ \ \ ^] bc^RZ

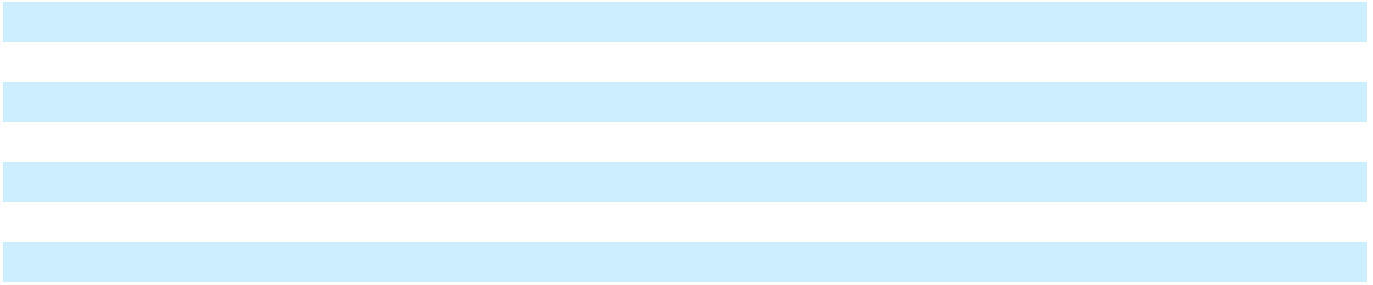
BCH9 +(89G7F=DH=CB C : 6IG=B9GG 5B8 65G=G C : DF9G9BH5H=CB

)<MDM IA 7L@M@HN<NDIH

BCH9 ,(F9J9BI9G

: ^a cWT cWaTT \ ^]cWb T]SIS GT_cT \ QTa -*t ,* , -

8\WcP[FTP[
9bcPcT
GTae\RTb



CcWTa aTeT]dT S\br[^bdaTb

BCH9 -(=AD5=FA9BH 5B8 F9GHFI7HIF=B ; 7<5F ; 9G

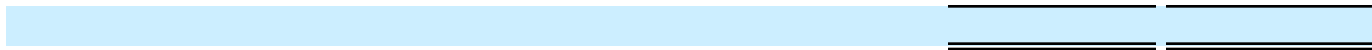
-DM><F %\$%' 0GJ<DLG@HN

-DM><F %\$%' 8@MNLO>NOLDHB

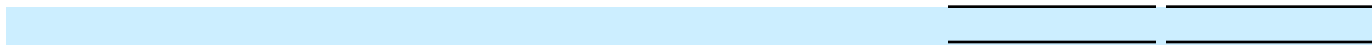
B9KG 7CFDCF5H=CB
BCH9G HC H<9 IB5 I8=H98 7CBGC@=85H98 :=B5B7=5 @ GH5H9 A9BHG

BCH9 .(=BJ9GHA9BHG

| | | |
|--|---|-----------------------------------|
| $\frac{Cf]TabW_]DTaRT]cPVT Pb ^U}{GT_cT \ QTa - *i , * , -}$ | $\frac{5b ^U}{GT_cT \ QTa - *i , * , -}$ | $\frac{5b ^U}{>d]T - *i , * , -}$ |
| $\$X] \ \backslash \ \backslash [[^] b\%$ | | |



| |
|--|
| $\frac{: ^a cWT cWaTT \ ^]cWb T]STS}{GT_cT \ QTa - *i}$ |
| $\frac{, * , -}{, * , ,}$ |
| $\$X] \ \backslash \ \backslash [[^] b\%$ |



9`dXch @^bbTb ^U 5UUX[XPcTb

BCH9 /(6CFFCK=B ;G

| | | | |
|--|--|---|---------------------------------------|
| $\frac{=]cTaTbc aPcT Pc}{GT_cT \setminus QTa - *k}$ | $\frac{A Pcdalch Pc}{GT_cT \setminus QTa - *k}$ | $\frac{5b \wedge U}{GT_cT \setminus QTa - *k}$ | $\frac{5b \wedge U}{>d]T - *k, *, -}$ |
| $\$X] \setminus \backslash [X^{\wedge}] b\%$ | | | |

BTfb 7^a ^aPcX^]

: ^gcT] ; a^d \$Q%

F95 ; a^d \$Q%

: X]P]RT [TPbT [XPOX]Xch

?XEVLGLDU\ 3LQDQFLQJ

*IP@H<HNM

BCH9 0(9E I=HM

| 5b ^U GT_cT \ QTa -*i ,*,- | | | | 5b ^U >d]T -*i ,*,- | | | |
|----------------------------|---------|---------|-------|---------------------|---------|---------|-------|
| @TeT[+ | @TeT[, | @TeT[- | H^cP[| @TeT[+ | @TeT[, | @TeT[- | H^cP[|
| \$X] \ M[[X^]b% | | | | | | | |

5 bbTcb4

H^cP[PbbTcb

@XPQX[XcXTb4

H^cP[[XPQX[XcXTb

9 `dXch bTRdaXcXTb

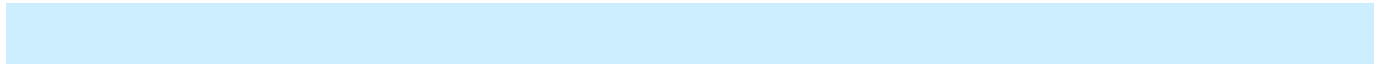
: ^a cWT cWaTT \ ^]cWb T]STS
GT_cT \ QTa -*i

,*,- ,*,,

\$X] \ M[[X^]b%

B9KG 7CFDCF5H-CB
BCH9G HC H<9 IB5 I8-H98 7CBGC@-85H98 :=B5B7-5 @ GH5H9 A9BHG

| | | | | |
|--|-------|--|-------|----------------------------------|
| ;PX] \$[^bb% aTR^V]iITS X] 5RRd \ d[PcTS CeWTa 7^ \ _aTWT]bIeT @^bb U^a cWT cWaTT \ ^]cWb T]STS GT_cT \ QTa -*i | | \$;PX] %[^bb aTR[PbbMUTS Ua^ \ 5RRd \ d[PcTS CeWTa 7^ \ _aTWT]bIeT @^bb U^a cWT cWaTT \ ^]cWb T]STS GT_cT \ QTa -*i | | =]R^ \ T bcPcT \ T]c [^RPcI^] |
| ,*, - | ,*, , | ,*, - | ,*, , | |
| \$X] \ M[X^]b% | | | | |

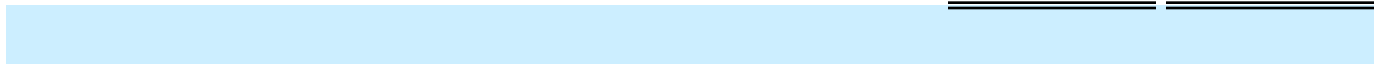
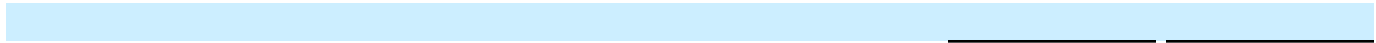
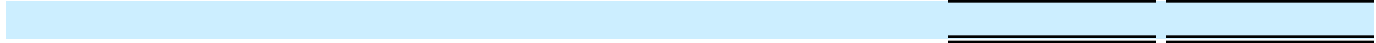
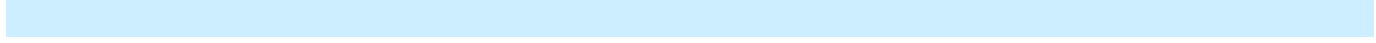


| | | | |
|--|--|--|--|
| | | | |
|--|--|--|--|

CcWTa :P%a JP[dT ATPbdaT \ T]cb

BCH9 2(95FB=B ; G \$@CGG% D9F G< 5F9

| | |
|---|-------|
| : ^a cWT cWaTT \ ^]cWb T]STS GT_cT \ QTa -*i | |
| ,*, - | ,*, , |
| \$X] \ M[X^]bi TgRT_c _Ta bWPaT P \ ^d]cb% | |



BCH9 3(7CA A=HA9BHG 5B8 7CBH=B ; 9B7=9G

*IGGDN@HNM

A%8% : HZVSDSHU 9DWHUV

@KH : HZV RI WKH BRUOG

@KH ?XQ

U 90=M>LDJNDIH ;D?@I 9@LPD>@M

/6: 42

+IQ IIH@M

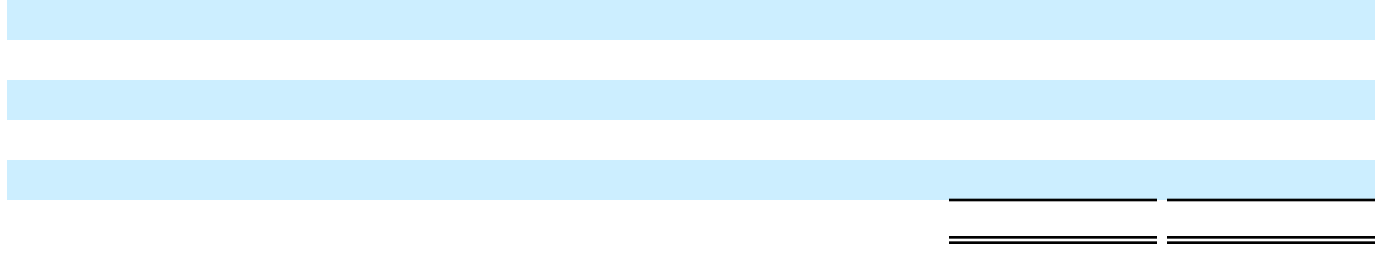
@KH BD00 ?WUHHW 7RXUQD0 /DUURQ_V

6QYHVWRU_V /XVLQHVV I DL0\

U)IIE 70=FDMCDHB

6NC@L 5 IH" *OLL@HN (MM@NM

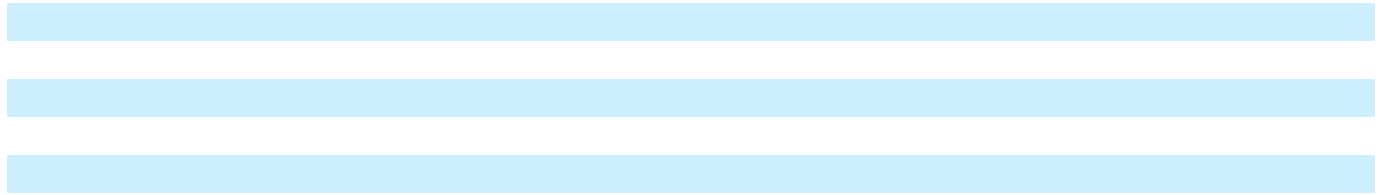
$$\frac{GT_cT \backslash QTa \text{ -}^*k, *, - \quad 5b \wedge U}{\$X] \backslash \mathbb{N}[I^{\wedge}]b\%} \quad \frac{5b \wedge U}{>d]T \text{ -}^*k, *, -}$$



6NC@L *OLL@HN 3D<=DFDND@M

$$GT_cT \backslash QTa \text{ -}^*k, *, - \quad 5b \wedge U \quad >d]T \text{ -}^*k, *, - \quad 5b \wedge U$$

$\$X] \backslash \mathbb{N}[I^{\wedge}]b\%$



HPQ[T ^U 7 ^]cT]cb

=H9A ,(A 5B5 ; 9 A9BHjG 8=G7 IGG=CB 5B8 5B5@MG=G C: :=B5B7=5@ 7CB8=H=CB 5B8 F9GI@HG C: CD9F5H=CBG

@KLV GRFXPHQW# LQFOXGLQJ WKH IR00RZLQJ GLVFXVLRQ DQG DQD0\VLV# FRQWDLQV VWDWHPHQW WKDW FRQVWLWXWH JIRUZDUG\$ORRNQJ VWDWHPHQW^ ZLWKLQ WKH PHDQLQJ RI ?HFWRQ (' 2 RI WKH ?HFUXULWHV 2[FKDQJH . FW RI ' -) *# DV DPHQGHG !WKH J2[FKDQJH . FW^"# DQG ?HFWRQ (, . RI WKH ?HFUXULWHV . FW RI ' -) # DV DPHQGHG% . 00 VWDWHPHQW WKDW DUH QRW VWDWHPHQW RI KLVWRULFDO IDFW DUH IRUZDUG\$ ORRNQJ VWDWHPHQW% @KH ZRUGV JH[SHFW#^ JZL00#^ JHVWLPDWH#^ JDQWLFLSDWH#^ JSUHGLFW#^ JEHOLHYH#^ JVKRX0G^ DQG VLPLODU H[SUHVVLROV DQG YDULDWLRQV WKUHRI DUH LQWQGHG WR LGHQWL\ IRUZDUG\$ORRNQJ VWDWHPHQW% @KXVH VWDWHPHQW DSSHU LQ D QXPEHU RI SODFHV LQ WKLV GLVFXVLRQ DQG DQD0\VLV DQG LQFOXGH VWDWHPHQW UHJDUGLQJ WKH LQWQ# EHOLHI RU FXUUHQW H[SHFWDWLRQV RI WKH ORPSDQ\# LWV GLUHFWRUV RU LWV RILFHUV ZLWK UHVSHFW WR# DPRQJ RWKHU WKLQJ# WUHQGV DIHFWRQJ WKH ORPSDQ_V EXVLQHV# ILQDQFLDO FRQGLWLRQ RU UHVXOW RI RSHUDWLRQV# WKH ORPSDQ_V VWDWHPHQW\ DQG VWDWHPHQW LQWLWLYHV# LQFOXGLQJ SRWHQWDO DFTXLVLWLRQV# LQYHVVPHQW DQG GLVSRVLWLRQV# WKH ORPSDQ_V FRVW VDYLQJ LQWLWLYHV# LQFOXGLQJ DQQRXQFHG KHDGFRXQW UHGXFWRQV# DQG WKH RXWFRPH RI FRQWQJHQFLHV VXFK DV OLWLJDWLRQ DQG LQYHVVWLRQV# >HDGHUV DUH FDXWRQHG WKDW DQ\ IRUZDUG\$ORRNQJ VWDWHPHQW DUH QRW JXDUDQWHV RI IXWXUH SHUIRUPDQFH DQG LQYROYH ULNVV DQG XQFHUWDLQLHV# 9 RUH LQIRUPDWLRQ UHJDUGLQJ WKXV ULNVV DQG XQFHUWDLQLHV DQG RWKHU LPSRUWDQW IDFWRUV WKDW FRXOG FDXVH DFWXDO UHVXOW WR GLIHU PDWULDO\ IURP WKRVLQ WKH IRUZDUG\$ORRNQJ VWDWHPHQW LV VHW IRUK XQGHU WKH KHDGLQJ J>LVN 3DFWRUV^ LQ <DUW 6# 6WHP ' . % LQ : HZV ORUSRUDWLRQ_V . QQXD0 >HSRUW RQ 3RUP '&\$ 8 IRU WKH ILVFD0 \HDU HQGHG 7XQH)&# (&)# DV ILOHG ZLWK WKH ?HFUXULWHV DQG 2[FKDQJH ORPPLVLRQ !WKH J?20^" RQ . XJXVW '+# (&) !WKH J(&) 3RUP '&\$8^"# DQG DV PD\ EH XSGDWHG LQ WKLW DQG RWKHU VXEVHTXHQW =XDUWU0\ >HSRUW RQ 3RUP '&\$=# @KH ORPSDQ\ GRHV QRW RUGLQDULO\ PDNH SURWHFWLRQV RI LWV IXWXUH RSHUDWLRQ UHVXOWV DQG XQGHUWDNHV QR REOLJDWLRQ !DQG H[SUHVVO\ GLVFDLQV DQ\ REOLJDWLRQ" WR SXEOLF0\ XSGDWH RU UHYLVH DQ\ IRUZDUG\$ORRNQJ VWDWHPHQW# ZKHWKHU DV D UHVXOW RI QHZ LQIRUPDWLRQ# IXWXUH HYHQWV RU RWKHU ZLVH# H[FHSW DV UHTXLUHG E\ 0DZ% >HDGHUV VKRXOG FDUHIX00\ UHYLHZ WKLW GRFXPHQW DQG WKH RWKHU GRFXPHQWV ILOHG E\ WKH ORPSDQ\ ZLWK WKH ?20% @KLV VHFWRQ VKRXOG EH UHGD WRJHWKHU ZLWK WKH XQDXGLWHG FRQVROLGDWHG ILQDQFLDO VWDWHPHQWV RI : HZV ORUSRUDWLRQ DQG UHODWHG QRWHV VHW IRUK HOVHZKXUH KHUHLQ DQG WKH DXGLWHG FRQVROLGDWHG ILQDQFLDO VWDWHPHQWV RI : HZV ORUSRUDWLRQ DQG UHODWHG QRWHV VHW IRUK LQ WKH (&) 3RUP '&\$8%

=BHFC8 I 7H=CB

U 6P@LPD@Q IA NC@ *IGJ<HSWM) OMDH@MM@M

U 8@MOFNM IA 6J@L<NDIHM

U 3DKOD?DNS <H? *<JDN<F 8@MIOL>@M

CJ9FJ=9K C: H<9 7CAD5BMjG 6IG=B9GG9G

U +DBDN<F 8@<F , MN<N@ 9@LPD>@M

. GYHUVLQJ UHYHXHV

?HJPHQW 2/6@1.

:HZV ORUS .XVWUDOLD

:HZV A 8

@=E I=8=HM 5B8 75D=H5@ F9GCIF79G

*OLL@HN -DH<H>D<F *IH?DNDIH

0MMO@L 7OL>C<M@M IA , KODNS 9@>OLND@M

: ^a cWT cWaTT \ ^]cWb T]STS GT_cT \ QTa -*i

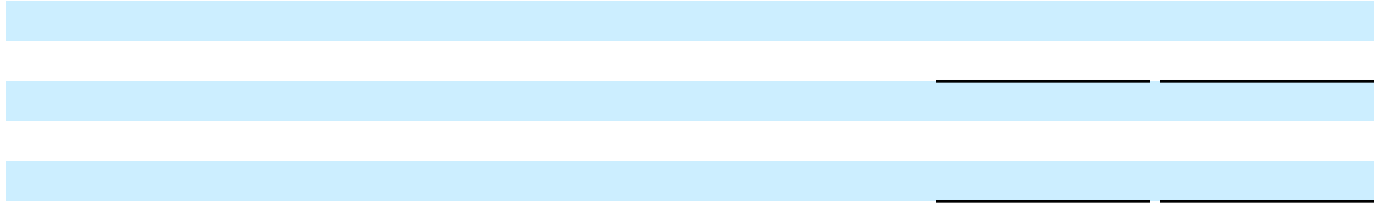
,*, -

,*, ,

:^a cWT cWaTT \ ^]cWb T]SIS
GT_cT \ QTa -*t

,*, - ,*, ,

\$Y] \ X[[Y^]b%



) ILLIQDHBM

:HZV ORUS /RUURZLQJV

3R[WHO 4URXS /RUURZLQJV

HPQ[T ^U 7 ^]cT]cb

>2 . 4URXS /RUURZLQJV

***IGGING@HNM**

5b ^U GT_cT \ QTa -*l ,*,-

DPH \ T]cb 8dT Qh DTa\^S

H^cP[

**@Tbb cWP] +
hTPa**

U GT_



HPQ[T ^U 7^]cT]cb

=H9A .(7CBHFC@G 5B8 DFC798 IF9G

\$P% 8\bR[^bdaT 7^]ca^[b P]S Da^RTSdaTb

\$Q% =]cTa]P[7^]ca^[CeTa :y]P]R\p[FT_^ac\]V

D5FH ==

=H9A +(@9 ; 5@DFC7998=B ; G

=H9A +5(F=G? : 57HCFG

=H9A ,(IBF9 ; =GH9F98 G5@9G C : 9EI=HM G97 IF=H=9G 5B8 IG9 C : DFC7998G

HPQ[T ^U 7 ^]cT]cb

G= ; B5HIF9

